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#### 7 Assessment of Heat Processing Effects on Cortisol Concentration in Dairy Milk

#### 8 **Products**

#### 9 ABSTRACT

10 The presence of cortisol residue in processed dairy milk may be a good parameter 11 for assessing the quality of dairy milk products and an alternative indicator of the 12 overall welfare of dairy cattle. Thus, this study investigated the impact of heat 13 processing on milk cortisol concentration (MCC). In total, 36 milk samples (50 14 mL) were collected from three Holstein dairy cattle at a research farm over two 15 consecutive days. The samples were divided into experimental groups: unheated, heated at 65°C for 30 min, and heated at 121°C for 5 min. Additionally, 11 16 17 commercial dairy milk products were purchased under three heating conditions: low temperature, low time (LTLT), ultra-short time (UST), and ultra-high 18 19 temperature (UHT). MCC was analyzed using an enzyme immunoassay. The 20 average farm MCC (ng/mL) for the unheated milk, milk heated at 65°C, and milk 21 heated at 121°C were 0.88±0.16, 0.86±0.19, and 0.80±0.15, respectively. MCC 22 was not significantly affected by the heating process. The average market MCC (ng/mL) in LTLT, UST, and UHT were 0.16±0.07, 0.15±0.08, and 0.15±0.07, 23 respectively. Overall, cortisol levels in fresh farm milk were unaffected by the 24 25 heating process. Monitoring cortisol levels in processed milk could offer a 26 valuable alternative indicator for assessing product quality and animal welfare, particularly when access to raw milk is limited. 27

28 Keywords: animal stress indicator, dairy product safety, milk cortisol analysis, thermal milk processing 29

#### 30 Introduction

31 Dairy farming is vital to the agricultural industry as a supplier of essential products such 32 as milk and cheese. However, recent environmental changes have also affected cattle health 33 and milk production (Guzmán-Luna et al., 2022; Bokharaeian et al., 2023). Therefore, ensuring the quality and safety of dairy products is of paramount importance to consumer health. It is
also important for animal welfare and behavior (Castellini et al., 2023).

Stress has been identified as a factor that can negatively affect the health and welfare of 36 37 animals, leading to lower milk production and quality (Park et al., 2022; Bokharaeian et al., 2023). Heat stress can alter the biological composition of cattle by affecting hormone secretion, 38 39 immune responses, and milk composition (Ma et al., 2019). In animal studies, cortisol levels 40 are commonly monitored to detect stress responses and potential welfare issues (Ito et al., 2017). 41 Cortisol can be detected in common biomatrices, such as blood, saliva, urine, hair, and milk, 42 involving both stressful and non-stressful procedures to evaluate whether an animal's condition is normal or abnormal (Ataallahi et al., 2022; Ghassemi Nejad et al., 2022). Changes in cortisol 43 44 levels in the bloodstream can affect milk cortisol concentration (MCC). The measurement of MCC is useful because abnormal levels have been linked to cattle stress or health conditions 45 46 during milk production (Fazio et al., 2015; Sgorlon et al., 2015; Ataallahi et al., 2023).

Heat treatment is the most common processing method used to inactivate harmful 47 48 components of dairy milk to ensure food safety and extend the shelf life of dairy milk products 49 (Čurlej et al., 2022; Franzoi et al., 2022). Heat processing changes the structure of milk 50 components such as proteins (Genene et al., 2019), vitamins, and volatile flavors (Coutinho et 51 al., 2018) depending on the heat exposure of the milk during processing (Lykholat et al., 2016; 52 Kilic-Akvilmaz et al., 2022). Monitoring the MCC in commercially processed milk products 53 may be an alternative and noninvasive method for assessing the health and welfare of dairy 54 cattle at the time of milk production. In addition, it may help ensure the safety and quality of 55 processed dairy products. Therefore, this study aimed to explore the effects of various thermal 56 processing methods, including low temperature, long duration, and high temperature, short 57 duration on the cortisol concentrations in milk obtained from farms and markets.

#### 58 Materials and Methods

### 59 Ethical permission

60 The experimental procedures and methods were approved by the Institutional Animal Care

61 and Use Committee (IACUC) of Kangwon National University, Chuncheon, Korea (KW-

62 200520-1).

#### 63 **Experimental animal**

Three healthy Holstein dairy cattle (30 to 70 months old) with a milk yield between 19
and 24 liters, and a milk composition of 4.0% fat and 2.8% protein were used in the current
study. They were housed in open pen with a winch curtain. The dairy cattle were fed with
concentrate (12 kg/head/day), ray grasses (*Lolium*) and Sudan grasses (*Sorghum x drummondii*).
All cattle were administered water *ad-libitum*. They belonged to a livestock research farm
located at Kangwon National University in Chuncheon, Korea.

### 70 Farm milk collection and preparation

Farm milk samples (n = 36) were obtained within two days. Each sample was placed in a conical tube (50 mL) during regular milking in the morning (08:00) and afternoon (16:00). The sample tubes were placed in a cooled transport bag and transported to the laboratory and refrigerated at 4 °C.

The whole milk tubes were vortexed, then centrifuged at 2000g for 20 min at 4 °C and milk fat was removed from the surface (Fukasawa et al., 2008). Then, the skim milk was divided into three portions in new conical tubes. One part as a raw skim milk (unheated), the other two parts of skim milk were heated at 65 °C for 30 min using a laboratory water bath (Wesolowska et al., 2019), and heated at 121 °C for 5 min using an autoclave (Papapanagiotou et al., 2005). Milk samples were thoroughly mixed. The first aliquot of skim milk sample was placed in a water bath heated to 65 °C for 30 min. The samples were then left to cool inside the water bath to 4°C. The same procedure was used for the second aliquot with the autoclave heated to 121°C
at 5 min (Fig.1).

# 84 Commercial market milk collection and preparation

Eleven commercial dairy milk products were purchased for analysis. All market milk products were made from 100% raw milk and they were heated by either low temperature low time (LTLT) at 63 °C for 30 min, ultra-short time (UST) at range 120 to 130 °C for 2 to 3 seconds, or ultra-high temperature (UHT) at range 135 to 150 °C for 1 to 4 seconds. For each milk product, 50 mL was sampled in a conical tube for subsequent analysis. No additional preparation steps such as centrifugation were required (Fig.1).

## 91 Milk cortisol analysis

MCC was analyzed using the procedures described by Fukasawa et al. (2008) and 92 93 Ataallahi et al. (2023). For cortisol extraction, the milk samples were thawed in a 37°C water 94 bath and 0.1 mL of milk was mixed with 0.9 mL diethyl ether under a fume hood. The mixture 95 was vortexed for 1 min and two organic (ether phase) and inorganic (aqueous phase) layers were formed. The organic layer containing cortisol was transferred into a separate 2 mL 96 97 microcentrifuge tube and evaporated under a laboratory fume hood for 2 h. The extracted 98 residues were stored at -20°C for further analysis. It should be noted that multiple extractions 99 (two or three times) were sufficient for the full extraction of cortisol, and the amount of 100 evaporated organic layers should be measured for the final calculation. The dried evaporated 101 residue was re-dissolved in 0.25 mL of assay buffer supplied with a colorimetric competitive 102 enzyme immunoassay (ELISA) kit (Enzo Life Science, NY, USA) at room temperature, 103 vortexed for 1 min and 0.1 mL of the reconstituted sample was assayed in duplicate in a 96-104 well plate (Fig.1). The MCC of morning and afternoon milk samples were measured 105 individually. The sensitivity of the cortisol ELISA kit was 56.72 pg/mL. The optical density of the samples was measured at a wavelength of 405 nm using a microplate reader (SpectraMax
Absorbance Reader, USA). MCC was reported in ng/mL. The average coefficient of variation
(CV%) calculated for farm MCC was 9.2% for the intra-assay and 14.4% for the inter-assay.
The average CV% calculated for the commercial MCC was 15.4% for the intra-assay and 19.8%
for the inter-assay.

#### 111 Statistical data analysis

112 Statistical analysis was performed using SAS software (version 9.4; SAS Institute, Cary, 113 NC, USA). To compare the farm MCC before and after heat processing, as well as the MCC in 114 commercial milk products, an analysis of variance (ANOVA) was used. Data were considered 115 statistically significant at p < 0.05. All results are reported as mean ± standard deviation (SD).

# 116 **Results and Discussion**

# 117 Effect of heat treatment on the MCC from farm milk

The results revealed that the average MCC (ng/mL) in unheated milk, heated milk at 65°C, and heated milk at 121°C, were  $0.88 \pm 0.16$ ,  $0.86 \pm 0.19$ , and  $0.80 \pm 0.15$  respectively (Fig. 2). Accordingly, there was no significant difference (p > 0.05) in MCC between unheated and heat-treated milk samples or between the heat treatment methods.

122 Cortisol, the main stress hormone in animals, is found at high levels in the blood and 123 can be transferred to the mammary glands of dairy animals (Hechler et al., 2018). The range of 124 MCC from 0.5 to 11.7 ng/mL was observed in previous studies (Verkerk et al., 1998; Gellrich 125 et al., 2015). In addition, Malekinejad and Rezabakhsh (2015), reported the range of 126 glucocorticoid hormone concentrations to be between 0.46 to 18 ng/mL in dairy milk, 20 to 136 127 ng/mL in human milk, and 144 ng/mL in rat milk. In our study, the average farm MCC was  $0.83 \pm 0.17$  ng/mL, falling within the range of glucocorticoid concentrations (0.7 to 1.4 ng/mL) 128 129 previously reported by Jouan et al., (2006) in milk products. We observed no significant

130 differences in the MCC before and after heat processing. This is consistent with previous studies 131 indicating that steroid hormones are unaffected by heat because cholesterol, a key substrate for 132 steroid biosynthesis, comprises stable carbon-based ring structures, making the molecule more 133 stable below its melting point of 148.5°C (Malekinejad and Rezabakhsh, 2015; Derewiaka and 134 Molińska et al., 2015; Van Der Voorn et al., 2017). In the dairy products industry, thermal 135 processing is typically performed at temperatures ranging from 63 to 100°C (Snoj et al., 2018; 136 Franzoi et al., 2022). We found that that temperatures between 65 °C for 30 min and 121 °C for 137 5 min, unaffected the level of cortisol.

138

# Measurement of MCC from market milk

139 The results revealed that the average MCC (ng/mL) of commercially milk products 140 processed under LTLT, UST, and UHT were  $0.16 \pm 0.07$ ,  $0.15 \pm 0.08$ , and  $0.15 \pm 0.07$ , respectively (Fig. 3). No significant differences (p > 0.05) were observed in the MCC between 141 142 commercial milk products subjected to different heat processing methods.

143 Heat treatment is known to influence the composition of milk depending on the intensity, 144 duration, and temperature of the storage conditions (Krishna et al., 2021). Previous studies have 145 indicated that protein-based hormones, including insulin-like growth factors, growth hormones, 146 leptin, adiponectin, and insulin, are more susceptible to denaturation during heating than lipid-147 based hormones such as cortisol (Arslanoglu et al., 2013; Van Der Voorn et al., 2017; Lieshout 148 et al., 2020). In this study, despite employing different heat treatments (LTLT, UST, and UHT), 149 no significant differences were observed in the MCC, suggesting that the heat processing 150 methods did not significantly affect the MCC. This finding suggests that cortisol residue in milk 151 remains relatively stable despite variations in the heat treatment processes commonly used in 152 commercial dairy products (Malekinejad and Rezabakhsh, 2015; Ataallahi et al., 2023). 153 Cortisol is a 21 carbon steroid with the characteristic 3-keto-4-ene structure of active steroids 154 (Honour, 2022). The structural stability of cortisol is because of presence of strong covalent bounds within the molecule, particularly the bounds between carbon atoms in the rings and the hydrogen atoms attached to them. The composition of milk is a complex mixture of proteins, fats, carbohydrates, and other ingredients. The stability of cortisol in milk can be affected by interactions with these components. Cortisol may form complexes with proteins present in milk, which can affect its degradation by enzymes.

160 Under normal conditions, 80 to 90% of circulating cortisol is bound to corticosteroid- binding 161 globulin and 10 to 15%, is bound to albumin, and remaining 5 to 10% cerculate as free and 162 active hormone (Mormède et al., 2007). The basic molecular structure of cortisol remains the 163 same whether its in the bloodstream or present in milk. But, when cortisol is found in milk, it 164 may be in lower levels compared to its levels in the bloodstream, and it might also be found to 165 carrier proteins specific to milk. Moreover, cortisol in milk might undergo some metabolic 166 processes or interactions with other milk components, although these alterations typically do 167 not change the fundamental structure of cortisol molecule itself. The exact extent of structural 168 changes to cortisol during heat treatment can vary depending on factors such as temperature 169 degree, duration of heating, and presence of other milk ingredients. High temperatures can lead 170 to the denaturation of proteins, and it may affect cortisol indirectly by these processes.

171 Therefore, the stress levels in farm animals can be a determining factor affecting milk 172 quality. The presence of cortisol in milk, a steroid hormone produced by the adrenal glands, has 173 been linked to environmental stressors and poor welfare conditions in cattle (Jouan et al., 2006; 174 Qu. Et al., 2018). However, only a small amount of glucocorticoid is transferred from the 175 bloodstream to milk (Jouan et al., 2006; Qu. Et al., 2018). Poor welfare conditions not only 176 affect milk production, leading to lower fat and protein levels (Kawonga et al., 2012; Castellini 177 et al., 2023) but also affect the hormonal composition of milk. Despite the potential influence 178 of poor welfare conditions on milk composition, our results suggest that the heat treatments 179 commonly employed in the dairy industry do not significantly alter cortisol levels.

180 It is essential to note that milk quality is affected by various factors such as thermal 181 processing (pasteurization and sterilization). Although effective at eliminating harmful 182 components and bacteria, these processes may degrade heat-sensitive vitamins and enzymes, 183 thereby reducing the nutritional value of milk (Brett et al., 2011). Furthermore, they may not 184 effectively eliminate hormonal residues such as cortisol and estrogen. Understanding the 185 stability of MCC under different heat treatment conditions has important implications for the 186 dairy industry and product quality. This information will help improve the safety and quality of 187 dairy products, while considering the welfare of dairy cattle. In addition, it will be explained 188 whether the level of cortisol in milk and even processed milk can be used as an indicator of the 189 overall good welfare of dairy farms. Monitoring hormonal residues, such as cortisol, in 190 commercial processed milk may be a good parameter to evaluate the quality of milk and dairy 191 products in the market.

### 192 Conclusion

Cortisol in farm milk was unaffected by the thermal processes tested. Therefore, the cortisol concentrations in commercial milk are expected to be similar to those in fresh milk products. This suggests that the stress indicator cortisol, remains stable during common heat processes in dairy milk products. Moreover, monitoring cortisol residues in processed milk products could be an alternative indicator to improve the quality of milk and mitigate cattle stress if raw milk is unavailable.

# 199 **Competing interests**

200

The authors report there are no competing interests to declare.

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# 207 Availability of data and material

208 The data that support the findings of this study are available from the corresponding author,209 upon reasonable request.

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# 309 Figure legends

310 Fig. 1. Flow diagram for processing and analysis of farm and market milk

Fig. 2. Boxplot displays the effect of heat treatments (low and high) on farm milk cortisol concentrations (MCC). Error bars represent the range of minimum and maximum cortisol values observed and the symbol x represents the mean of data. The MCC was not statistically different (p > 0.05).

Fig. 3. Boxplot displays the milk cortisol concentrations (MCC) in commercially milk products processed under three heat conditions. Low temperature low time (LTLT) at  $63 \,^{\circ}$ C for 30 min, ultra-short time (UST) at range 120 to 130  $\,^{\circ}$ C for 2 to 3 seconds, or ultra-high temperature (UHT) at range 135 to 150  $\,^{\circ}$ C for 1 to 4 seconds. Error bars represent the range of minimum and maximum cortisol values observed. The outliers are shown as circles and the symbol x represents the mean of data. The MCC was not statistically different (p > 0.05).





