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Abstract

As consumers become more interested in healthier lifestyles, the global functional food market is expanding. Probiotics have gained attention because of their numerous health benefits to the host and may even treat various pathological conditions. Probiotics interact with host cells, and particularly, probiotics-derived extracellular vesicles (PEVs) are key factors in the health benefits of probiotics. Additionally, extracellular vesicles are nano-scaled lipid-bilayer particles that carry various biological molecules, indicating potential as new postbiotics that can provide the same health benefits as probiotics while complementing the side effects associated with probiotics. The importance of mental health care is becoming increasingly prominent considering societal conditions, such as the recent aging population and the coronavirus disease 2019 pandemic. However, the response to mental health issues among modern individuals is insufficient, and there is a need for the development of new personalized treatments to overcome the limitations of current mental health therapies. PEVs have various physiological functions, including mediating cellular communication in the central nervous system, which indicates associations among mental disorders. Therefore, we focused on the beneficial effects of PEVs on the brain and mental health. Recent research has shown that PEVs can adjust the expression of brain-derived neurotrophic factors in vitro and in vivo, demonstrating antidepressant and cognitive function improvement effects. This suggests that PEVs have potential as therapeutic agents for improving mental health and treating brain disorders. Based on this, we review these findings and present the beneficial effects of PEVs on mental health and the challenges that need to be addressed.

Keywords: extracellular vesicles; probiotics; postbiotics; mental health

Introduction

Mental health disorders, such as depression and anxiety, are common among adults worldwide. Depression, which is characterized by a consistently low mood, is a complex mental illness influenced by genetics, brain chemical abnormalities, psychosocial stressors, and traumatic experiences (Bistas and Tabet, 2023). An increasing number of studies have shown the relationship between gut microbiota and mental health through the brain-gut axis communication (Clapp et al., 2017; Xiong et al., 2023). Gut microbiota dysbiosis negatively impacts the maintenance of mental health and promotes the progression of mental illness. A healthy and balanced gut microbiota composition is influenced by several factors, including diet, age, and stress levels (Abdul-Aziz et al., 2016).

Probiotics are widely recognized for their influence on the gut microbiota, playing a role in regulating the composition and structure of the gut microbiota. Moreover, an extensive number of studies have shown that probiotics supplementation significantly improves psychological symptoms of mental illness (Amirani et al., 2020). However, despite their benefits, probiotics have certain risks, for example, antibiotic resistance and potential infections in individuals with immunocompromised conditions (Nataraj et al., 2020). Postbiotics, which have emerged as alternatives to probiotics, are soluble substances secreted or released by bacteria during lysis and include enzymes, peptides, cell wall components, polysaccharides, and cell surface proteins, as well as metabolites produced by bacterial growth (Nataraj et al., 2020). In addition, recent evidence suggests that extracellular vesicles (EVs) derived from bacteria may also be classified as postbiotics because of their protective abilities against the development and progression of diseases (Yang et al., 2022). In this review, we mainly focus on EVs from probiotics as potential therapeutic agents for mental illnesses, such as depression. Additionally, we discuss evidence suggesting that probiotics EVs alleviate the symptoms of Alzheimer's disease.

58

59 **Probiotics**

60 An aging population and the global increase in chronic health conditions are steering
61 consumers toward adopting healthier lifestyles. This has led to increased awareness and interest
62 in functional foods (Baker et al., 2022; Lillo-Pérez et al., 2021; Palanivelu et al., 2022). In
63 particular, probiotics represent the primary focus in functional food production because of their
64 significant health potential (Begum et al., 2017). The global probiotics market, focusing solely
65 on the human end-use segment, is projected to exceed an anticipated value of approximately
66 USD 5.5 billion by 2032 (Lee et al., 2024). Probiotics are defined by the Food and Agriculture
67 Organization of the United Nations (FAO) and the World Health Organization (WHO) defines
68 probiotics as "Live microorganisms which when administered in adequate amounts confer a
69 health benefit on the host." Probiotics are live microorganisms that differ from prebiotics,
70 which are selectively used to confer health benefits, and from postbiotics, which are non-living
71 microbial products or substances that provide advantageous effects to the host (Ji et al., 2023).
72 Probiotics primarily include lactic acid bacteria (LAB), with notable representatives, such as
73 Bifidobacterium, Lactobacillus, Leuconostoc, and Pediococcus (Son et al., 2018). LAB are
74 generally recognized as safe (GRAS) owing to their inherent presence in fermented foods, such
75 as kimchi, cheese, and jeotgal (Castellano et al., 2017). Every genus encompasses numerous
76 species, and within each species, there exist a multitude of strains. The health benefits
77 associated with probiotics are typically regarded as being specific to each strain (Ji et al., 2023).
78 Probiotics have the potential to modify the composition of the gut microbiota, vie with
79 pathogens for nutrients and attachment sites on the intestinal lining, fortify the integrity of the
80 intestinal barrier, and regulate the immune system (Wang et al., 2021; Wieërs et al., 2020). In
81 particular, Lacticaseibacillus rhamnosus GG, isolated from the human intestine, is one of the
82 most effective probiotics strains due to its ability to survive in the acidic stomach and colonize

the intestine, and it is still widely used as a commercial strain (Capurso, 2019). In addition to providing gut-related health benefits, probiotics may also affect brain function, cognition, and behavior through interactions between gut microbes and the central nervous system (CNS) (Gambaro et al., 2020). The bidirectional connection between the microbiota–gut–brain axis is based on metabolic, neural, and immunological pathways that include the vagal nerve, the hypothalamic–pituitary–adrenal (HPA) axis, and the production of bacterial metabolites (Góralczyk-Bińkowska et al., 2022; Zagórska et al., 2020). Because probiotics positively alter the gut microbiota and produce neuroactive and neuroendocrine molecules that act on the CNS based on the microbiota–gut–brain axis, they can serve as a foundation for the treatment of psychiatric disorders (Gambaro et al., 2020; Tong et al., 2020). Although probiotics offer health benefits as a medicine for mental disorders, the WHO and the FAO have noted that the use of living microbial cells in probiotics may raise safety concerns and potential side effects (Yeşilyurt et al., 2021). Probiotics side effects include systemic infections and harmful metabolic activities, also common issues are gastrointestinal disorders (diarrhea, nausea, gas, dyspepsia, and abdominal pain) (Zielińska et al., 2018). There is research indicating that using derivatives or byproducts of viable microorganisms inactivated through diverse techniques can mitigate safety concerns and lower the infection risk in individuals with increased intestinal permeability and a compromised immune system (Collado et al., 2019).

Postbiotics

Postbiotics are considered a promising alternative supplement to address potential risks associated with probiotics (Chaudhari and Dwivedi, 2022; Żółkiewicz et al., 2020). In 2021, the International Scientific Association for Probiotics and Prebiotics (ISAPP) defined postbiotics as "a preparation of inanimate microorganisms and/or their components that confers

a health benefit on the host" (Salminen et al., 2021) Possible pathways for the transmission of health benefits through postbiotics are similar to those of probiotics (Hernández-Granados and Franco-Robles, 2020; Yeşilyurt et al., 2021). Postbiotics refer to metabolic byproducts, including organic acids, short-chain fatty acids, and polysaccharides or bioactive compounds such as lipoteichoic acid and peptidoglycan, as well as DNA generated by living microorganisms during growth or fermentation (Balthazar et al., 2022; Liu et al., 2023; Yan et al., 2024). Although the precise mechanisms by which postbiotics exert their beneficial effects on the host have not been well understood, one of the most well-documented effects is their immunomodulatory potential. For instance, several postbiotic molecules, such as lipoteichoic acid and peptidoglycan in the cell wall structure, can directly interact with Toll-like receptors or nucleotide-binding oligomerization domain-like receptors, modulating immune functions by regulating intracellular signaling pathways, including the nuclear factor- κ B, mitogen-activated kinase pathways and PI3K/Akt-mediated pathways. Moreover, postbiotics not only interact with host cells, but may also affect microbial communities, which may represent indirect mechanisms of action (Jastrzab et al., 2021). Furthermore, as non-living substances and because they do not replicate in the gut, postbiotics offer a safer option than probiotics for individuals with a compromised immune system or critical illness (Ailioaie and Litscher, 2021). Postbiotics offer the advantage of being stored at room temperature, simplifying transportation and ensuring cell counts remain constant (Piqué i Clusella et al., 2019; Yan et al., 2024). Their functional attributes result in improved stability, texture, and taste compared to probiotics, enhancing the physicochemical and sensory qualities of the product. As a result, they can be incorporated as functional additives to enhance product quality (Barros et al., 2020). The increasing research on the physiological benefits of probiotics-derived extracellular vesicles (PEVs) suggests that extracellular vesicles could serve as potential novel postbiotics (Krzyżek et al., 2023; Liang and Xing, 2023; Xie et al., 2023).

Characteristics of extracellular vesicles (EVs)

Current research indicates that the health-beneficial activity of probiotics is mainly regulated by the production of EVs (Krzyżek et al., 2023). Recent studies propose that EVs could emerge as the postbiotics of the future, carrying potential health advantages (Xie et al., 2023). EVs are nano-scaled lipid-bilayer particles secreted by virtually every type of living cell, including plant cells, mammalian cells, bacteria, and probiotics (Kameli et al., 2021; Krzyzek et al., 2023; Morishita et al., 2021). EVs are classified into various types based on their sizes, origins, and function, for example, exosomes, ectosomes, apoptotic bodies, and oncosomes (Kong et al., 2023). Among these, exosomes are particularly well studied and typically fall within the nano-size range of 20–300 nm (Kong et al., 2023; Mandelbaum et al., 2023).

Probiotics, which are mostly Gram-positive bacteria, have a complex process for the biogenesis of EVs because of the presence of a thick peptidoglycan layer (Figure 1) (Liu et al., 2022). Previously, some non-mutually-exclusive hypotheses have been proposed about the mechanism by which EVs are released from the thick cell walls of Gram-positive bacteria. First, the release of the EVs from the cytoplasm membrane generates turgor pressure, prompting the extrusion of EVs through the cell wall. Additionally, specialized protein channels in the peptidoglycan layer may help guide EVs out of the cell (Brown et al., 2015). Finally, endolysin degrades the peptidoglycan layer, causing bubbling cell death and leading to the formation of cytoplasmic membrane vesicles (CMVs). This CMVs means EV produced by Gram-positive bacteria. CMVs can carry various cargo, including cytoplasmic membrane proteins, RNA, chromosomal DNA, endolysins, and virulence factors (Bose et al., 2020; Muñoz-Echeverri et al., 2024; Suri et al., 2023).

EVs contain various molecules, such as microRNAs (miRNA), proteins, lipids, and metabolites, that can be functionally delivered between cell types and across species (Gandham et al., 2020).

Genetic information carried by EVs, like mRNA and miRNA, can be safely transferred from outside the cell into the cell, where it can regulate gene expression or send signals within the cell (Mulcahy et al., 2014). Because miRNAs have complex regulatory networks, they can commute between different cells to control the rate of translation and transcription (O'Brien et al., 2018). As a result, EVs can act as signal molecules to mediate intercellular communication, transfer cargo from donor cells to recipient cells (Lee et al., 2023; Li et al., 2023), and impact physiological and pathological responses. In addition, EVs participate in antigen presentation, neuronal communication, immune modulation, metastasis, interspecies/intraspecies/interkingdom communication, stress tolerance, and horizontal gene transfer (Hosseini-Giv et al., 2022; Lee et al., 2023). According to recent research, PEVs can serve as a new communication pathway between the host and microbe (Morishita et al., 2021). PEVs can permeate the blood–brain barrier (BBB) and other tissue barriers (Guo et al., 2024). For example, fluorescent-labeled exosomes administered intranasally to mice were located in the brain (Zhuang et al., 2011). Furthermore, it has been observed that CMVs derived from *Lactiplantibacillus plantarum* have the capability to traverse the BBB and undergo internalization by neurons (Xie et al., 2023). Another mechanism by which EVs can penetrate the brain is through the vagus nerve (Bleibel et al., 2023). In mice administered with EVs via oral gavage, the absorption of EVs increased, whereas in mice that underwent vagotomy, EVs' absorption was inhibited (Lee et al., 2020). This ability demonstrates that EVs may be useful for drug delivery. Research findings suggest that PEVs promote gut health by modulating gut microbiota and regulating inflammatory responses in the intestine (Tong et al., 2021) (Table 1). Moreover, PEVs offer a broader range of health benefits beyond promoting gut health (Figure 2). For instance, PEVs have been shown to inhibit the growth of liver cancer cells and induce apoptosis (Behzadi et al., 2017), as well as alleviate conditions such as food allergies and atopic dermatitis (Kim et al., 2016; Kim et al., 2018). Another feature of PEVs is that they

interact with immunological receptors on glial cells, leading to changes in brain function (Guo et al., 2024; Ma et al., 2021). As such, PEVs have various effects on the health of not only the intestine but also the whole body, and we have noted the effects of these various effects, especially on mental health.

Mental health is critically important to everyone, everywhere. Mental disorders are health conditions that are defined by dysfunctional thinking, mood, or behavior, which are associated with many problems that can include disability, pain, or death (Gamm et al., 2010). The recent rapid population aging and tremendous economic and political changes have contributed to and will continue to contribute to the prevalence and impacts of mental disorders (Hossain et al., 2020; Park and Kim, 2011). Additionally, the need for mental health care is on the rise due to the widespread confusion caused by the coronavirus disease 2019 (COVID-19) pandemic across the population in recent years (Hossain et al., 2020; Roy et al., 2020). However, according to the WHO, current mental health responses are insufficient and inadequate (WHO, 2022). Moreover, the mental health treatment field has its limitations and is prone to adverse effects, highlighting the need for novel, customized treatments (Johnson et al., 2023). Recent progress in research on PEVs has confirmed the potential for applying PEVs to enhance the treatment of mental disorders, and we would like to introduce this.

Potential as an antidepressant

Several studies have shown that the *Lactobacillus*-derived EVs reverse the expression of neurotrophic factors increased by glucocorticoids (GC), a class of stress hormones, in HT-22 cells. Corticosterone is the primary stress hormone in mammals and a key GC in rodents (Silva et al., 2022). Furthermore, the brain-derived neurotrophic factor (BDNF) belongs to a family of neurotrophins that play an important role in the survival and differentiation of neuronal populations (Miranda et al., 2019). EVs derived from *Lactip. plantarum* (Lp-EV) reversed GC-

induced reduced expression of total Bdnf (tBdnf) and the BDNF splicing variants Bdnf1, Bdnf4, and Ngf. Moreover, Lp-EV treatment blocked GC-induced reduced expression of proBDNF (Choi et al., 2019). The siRNA-mediated knockdown of Sirt1 in HT-22 cells suggested that Sirt1 played an important role in Lp-EV-induced upregulation of Bdnf4 and cyclic AMP-responsive element-binding protein 1 (Creb1). This ultimately demonstrates that Lp-EV treatment increases Sirt1, which in turn induces the upregulation of Bdnf and Creb1. The same effect was seen in the hippocampus of mice. Lp-EV treatment in mice during the stress treatment (chronic restraint stress, CRST) phase increased expression of Bdnf1, Bdnf4, and Nt4/5. Also, Lp-EV injection at each stress session blocked the stress-induced decreased expression of proBDNF. Behavioral tests showed that mice treated with Lp-EV blocked stress-induced increased immobility and increased sociability. Similar results were also observed in the study by Kwon et al (Kwon et al., 2023a). Lacticaseibacillus paracasei-derived EVs (Lpc-EV) treatment counteracted GC-induced decreased expression of Bdnf, Nt3, Ngf, and TrkB. Knockdown of Mecp2 by siRNA inhibited the Lpc-EV-induced recovery of Bdnf, Nt4/5, TrkB, p53, Mkp1, and Fkbp5 expression induced by GC. Microarray data comparing gene expression in GC-treated HT-22 cells versus GC+Lpc-EV-treated cells showed that GC decreased stress-related MAPK pathways and neuronal cell death regulation, but Lpc-EV treatment reversed these alterations. These results demonstrate that Lpc-EV modulates GC-induced neurotrophic factor expression changes through Mecp2 regulation and affects stress response and epigenetic modification pathways via specific gene clusters. Similar trends of genetic changes were seen in CRST mice. In the results of the social interaction test (SIT), CRST mice exhibited reduced social interaction, in addition to increased immobility in the tail suspension test (TST) and the forced swim test (FST). However, Lpc-EV treatment after stress partially reversed these changes. In conclusion, these findings demonstrate that Lp-EV and Lpc-EV directly act on neuronal cells and mediate the

antidepressant effects. Additionally, Lp-EV and Lpc-EV cargo components can effectively mitigate stress-induced changes in gene expression and depressive behaviors, highlighting their potential as therapeutic agents for stress-related disorders.

Possibility as a treatment for Alzheimer's disease

Amyloid-beta ($A\beta$) appears to play an important role in Alzheimer's disease. An imbalance of $A\beta$ production and clearance induces $A\beta$ accumulation, which can be continued to Alzheimer's disease. Treatment with $A\beta_{42}$ in HT-22 cells downregulated the expression of Bdnf, Nt3, Nt4/5, Ngf, TrkB, and Mecp2, but Lpc-EV blocked these effects (Kwon et al., 2023b). Through siRNA-mediated knockdown, it was demonstrated that the upregulation of Bdnf, Nt3, Nt4/5, and TrkB by Lpc-EV was mediated by MeCP2 and Sirt1. These tendencies were analogous to those observed in Tg-APP/PS1 mice. In the hippocampus of these mice, the expression of Bdnf, Nt4/5, TrkB, Mecp2, Creb1, Sirt1, Sirt5, and Sirt7 was downregulated, whereas the expression of Hdac2, G9a, Setdb1, and Suv39h1 was upregulated. In contrast, when treated with Lpc-EV, the expression of Bdnf, Nt4/5, TrkB, Mecp2, Creb1, Sirt1, Sirt5, and Sirt7 was upregulated, whereas the expression of Hdac2, G9a, Setdb1, and Suv39h1 was downregulated. Furthermore, Lpc-EV treatment restored the downregulated expression of MeCP2 and Sirt1 proteins in the hippocampal neurons of Tg-APP/PS1 mice. Lpc-EV suppressed gliosis in the brains of Tg-APP/PS1 mice. In several behavioral tests, including the novel object recognition test (NOR), water maze test (WM), and passive avoidance test (PA), the results revealed that Tg-APP/PS1 mice treated with Lpc-EV exhibited improved performance in object recognition and retention memory in NOR, as well as enhanced retention memory for shock-associated stimuli in the PA test. In conclusion, Lpc-EV induces MeCP2- and Sirt1-dependent upregulation of Bdnf, Nt3, Nt4/5, TrkB, Mmp-2, and Mmp-9, and epigenetic modification is a critical mechanism by which Lpc-EV alleviates Alzheimer's disease-like pathology in Tg-APP/PS1 mice.

Conclusion and prospect

EVs can be used as a potential treatment in health and disease because they can interact with host cells and deliver long-distance molecules to neighboring bacteria and host cells (Mandelbaum et al., 2023). Over the past few years, awareness of PEVs as promising therapeutic sources has been growing rapidly (Krzyzek et al., 2023). The evidence collated in this review suggests that PEVs might improve cognitive functioning in depression and Alzheimer's disease via several mechanisms. Although PEVs can improve mental health and psychological function, they can be offered as new medicines for common mental disorders, some challenges and limitations should be addressed.

First, considering that bacterial EVs contain proteins, peptides, carbohydrates, lipids, nucleic acids, and bacterial metabolites (Yang et al., 2018), the bioactive components in PEVs should include some of these components. Therefore, the characterization of which substances will be included in PEVs and cause a transcriptional reaction must be made. Second, in some papers, PEVs regulate the expression of epigenetic factors. Considering that epigenetic factors can serve as the basic regulators of chromosome structures and the transcription of numerous genes, PEV-induced changes in the epigenetic regulation of genes likely have genome-wide effects (Kwon et al., 2023a). Given the massive genome-wide effects of PEVs, bioactive components in PEVs might drive multiple arrays of signaling events to restore a homeostatic ability. Third is the lack of uniform standards for the separation, purification, characterization, storage, and quality control of PEVs. Due to the unique properties of PEVs, their separation and purification methods may not completely imitate the manufacturing process. Finally, although the safety of orally administered PEVs has been verified through animal experiments, their safety and biocompatibility with other nasogastric pathways must be comprehensively evaluated. The importance and potential application of PEVs in human health are not yet widely recognized.

More clinical studies are necessary to determine the clinical significance of the effects and their bioequivalence or superiority against current treatments (Ansari et al., 2020).

In recent years, immunotherapy has emerged as an effective treatment strategy, and nanoparticle-mediated biotherapy has been reported to synergize with immunotherapy to improve response rates, resulting in better clinical outcomes (Zhang and Zhang, 2020). PEVs are attractive due to their unique advantages and therapeutic potential, especially in regulating immune responses to fight diseases. Therefore, future in-depth investigation needs to be undertaken to solve the above problems and apply them to biotherapeutics.

Conflicts of interest

The authors declare that they have no conflict of interest.

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Author contributions

Conceptualization: Baek JH, Kang SS. Data curation: Lee SY, Lee JH. Formal analysis: Park JH. Methodology: Choi E. Software: Park JH, Choi E. Validation: Lee SY, Lee JH.

Investigation: Baek JH. Writing - original draft: Baek JH, Lee SY, Lee JH, Park JH, Choi E, Kang SS. Writing - review & editing: Baek JH, Lee SY, Lee JH, Park JH, Choi E, Kang SS.

Ethics Approval

This article does not require IRB/IACUC approval because there are no human and animal participants.

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539 **Table 1.** Various positive effects of PEVs on health

Species	Models	Biological effects	References
<i>Lactip. plantarum</i> Q7	C57BL/6J mice	Regulation of intestinal microbiota	(Hao et al., 2021)
<i>Lacticaseibacillus paracasei</i> PC-H1	HCR116 cells SW1116 cells SW620 cells	Inhibition of colorectal cancer cell	(Shi et al., 2022)
<i>Lactic. paracasei</i>	RAW 264.7 cells HT-29 cells Male C57BL/6J mice	Anti-inflammatory effect	(Choi et al., 2020)
<i>Lactic. rhamnosus</i> GG	HepG2 cells	Anti-proliferative effect	(Behzadi et al., 2017)
<i>Lactic. rhamnosus</i> JB-1	HT-29 cells MODE-K cells	Immunoregulatory activity	(Champagne-Jorgensen et al., 2021)
<i>Limosilactobacillus reuteri</i> BBC3	HT11 cells	Anti-inflammatory properties Immunomodulatory effect	(Hu et al., 2021)
<i>Latilactobacillus sakei</i> NBRC 15893	PP and BMDCs from Balb/c mice	Immunomodulatory effect	(Miyoshi et al., 2021)
<i>Bifidobacterium longum</i> KACC 91563	LP cells, T cells, B cells Balb/c wild-type mice	Novel treatment option for allergic diseases	(Kim et al., 2016)

540

541 **Table 2.** Potential as an antidepressant of PEVs

Species	Models	Biological effects	References
<i>Lactip. plantarum</i>	HT-22 cells Male C57BL/6J mice (7-weeks old)	Increase the expression of BDNF Antidepressant-like effect	(Choi et al., 2019)
<i>Lactic. paracasei</i>	HT-22 cells Male C57BL6 mice (7-weeks old)	Restored stress-induced changes of those factors Alleviated stress induced depressive-like behavior	(Kwon et al., 2023)

542

543 **Table 3.** Possibility as a treatment for Alzheimer's disease of PEVs

Species	Models	Biological effect	Reference
<i>Lactic. paracasei</i>	HT-22 cells Tg-APP ^{swe} /PS1 ^{dE9} (Tg-APP/PS1) mice	Beneficial for treating Alzheimer's disease	(Kwon et al., 2023b)

544

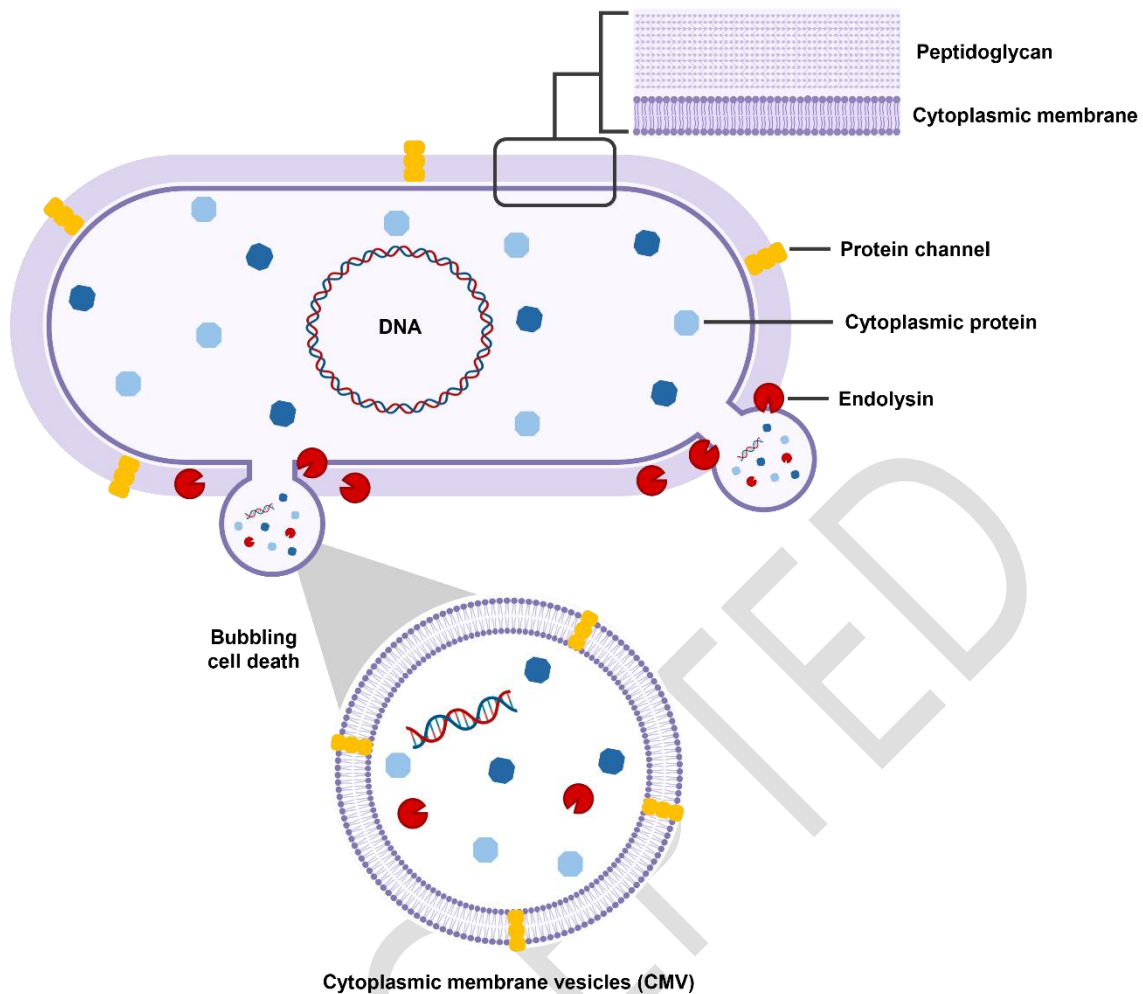


Figure 1. Biogenesis of EVs in Gram-positive bacteria. Several exclusive hypotheses explain the mechanism for EV release, including turgor pressure pushing EVs through the cell wall, specialized protein channels facilitating EV exit, and endolysin degrading the peptidoglycan layer, leading to cytoplasmic membrane vesicle (CMV) formation. This figure is created using the image tool available online from BioRender.com.

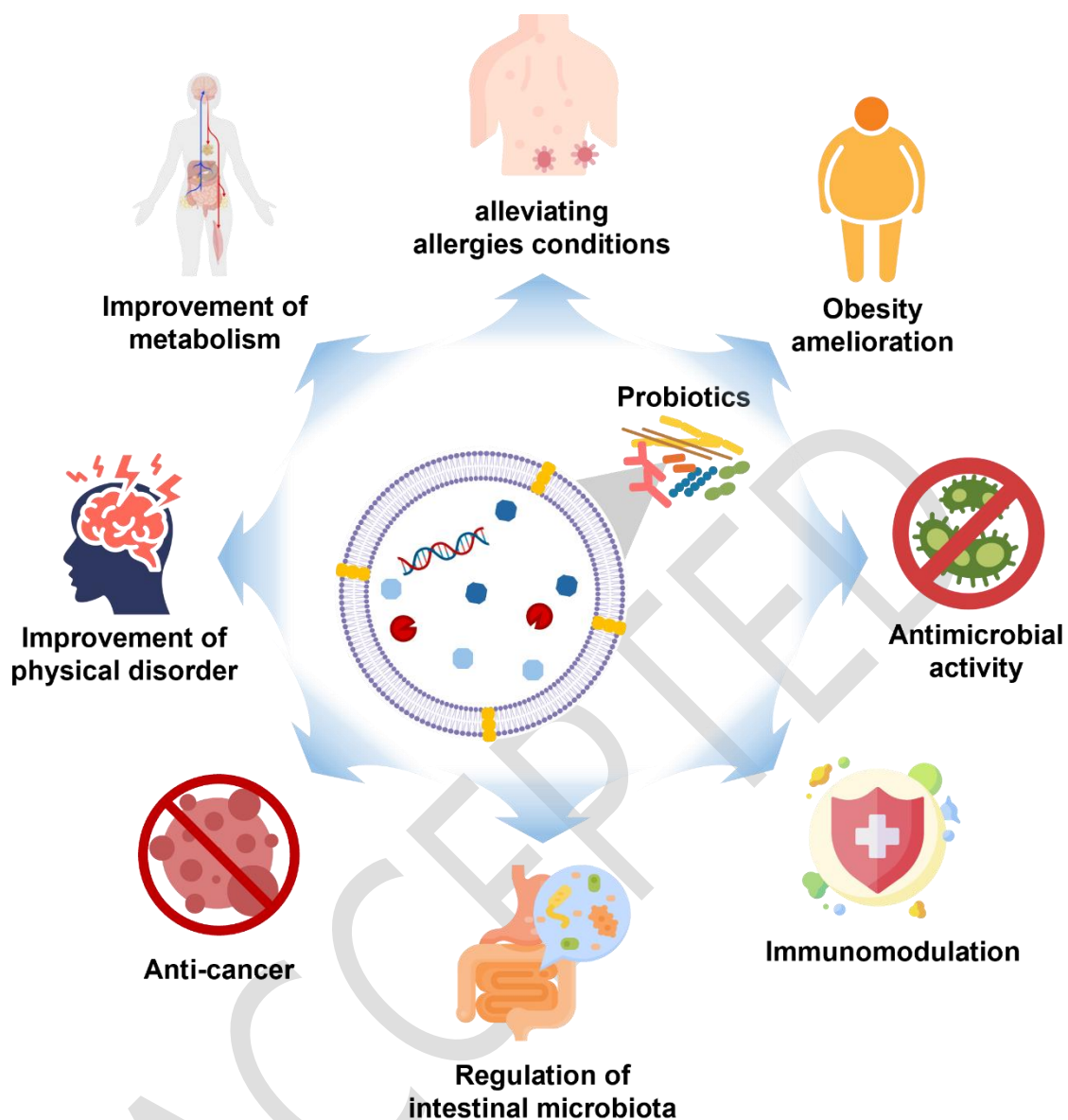


Figure 2. Health benefits of PEVs. Several studies confirmed their beneficial effects on host health, such as maintaining intestinal barrier integrity, having anticancer activity, and improving physical disorders. This figure is created using the online image tool available from BioRender.com and Flaticon.com.